

TEXAS STATE BOARD OF PUBLIC ACCOUNTANCY

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PEER REVIEW COMPLIANCE REPORTING FORM

In accordance with Board Rule 527.6, Reporting to the Board, the Texas State Board of Public Accountancy requires information on the most recent peer review of your firm. Complete this form as indicated, sign the form, attach the required documents, and mail to the address listed above.

Firm Control Number: Firm License ID: Firm Name: Phone: Address: Contact Person: Change of address? []

ASSIGNED REVIEW/INSPECTION DATE: OFFICE USE ONLY

PROVIDE INFORMATION FROM THE PEER REVIEW REPORT

Report Date: Result of Engagement Review or System Review: Review was for year ended: Engagement review (off-site) 1 System review (on-site) 2 PCAOB Inspection 4 Fail 8 Pass with Deficiencies 9 Pass 10

PROVIDE INFORMATION FROM FINAL LETTER OF ACCEPTANCE (FLOA)

SPONSOR: AICPA Peer Review Program administered by NPRC 9 AICPA Peer Review Program administered by TSCPA 5 AICPA Peer Review Program administered by a state society other than Texas 3 Public Company Accounting Oversight Board (PCAOB) 7 TSCPA Peer Review Program administered by TSCPA 10 Sponsor-assigned Review/Inspection Number: Sponsor-assigned Firm Number: Was corrective action required? [] Yes [] No Deadline for corrective action was: DUE DATE FOR NEXT REVIEW:

MANDATORY ATTACHMENTS: CHECK THE DOCUMENTS THAT ARE ATTACHED

PASS

- Peer Review Report (PRR)
Final Letter of Acceptance (FLOA)

PASS WITH DEFICIENCIES

- Peer Review Report (PRR)
Corrective Action Letter (CAL)
Letter of Response (LOR)
Final Letter of Acceptance (FLOA)

FAIL

- Peer Review Report (PRR)
Corrective Action Letter (CAL)
Letter of Response (LOR)
Final Letter of Acceptance (FLOA)

Officer, resident manager, or sole proprietor must sign below to verify the accuracy of the information.

Signature